

PATENT APPLICATION BASED ON:

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**METHOD OF FORMING FIDUCIAL MARKS ON A  
MICRO-SIZED ARTICLE**

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MICRO-SIZED ARTICLE**

**CROSS REFERENCE TO RELATED APPLICATIONS**

5           The present application is related to U.S. Application Serial  
Number (Docket 82421), filed - - -, by Border, et al., and entitled, "Method Of  
Manufacturing A Precisely Aligned Microlens Array;" U.S. Application Serial  
Number (Docket 83859), filed - - -, by Border, et al., and entitled, "Microlens  
Array;" U.S. Application Serial Number (Docket 83861), filed - - -, by Border, et  
10 al., and entitled, "Double-Sided Microlens Array And Method Of Manufacturing  
Same;" U.S. Application Serial Number (Docket 83862), filed - - -, by Border, et  
al., and entitled, "Laser Array And Method Of Making Same;" and, U.S.  
Application Serial Number (Docket 83863), filed - - -, by Border, et al., and  
entitled, "Fiber Optic Array And Method Of Making Same."

**FIELD OF THE INVENTION**

15           The invention relates generally to the field of microlens lens  
arrays. More particularly, the invention concerns forming fiducial marks on  
optical articles that require precise alignment in an optical system containing the  
microlens array.

**BACKGROUND OF THE INVENTION**

20           Optical systems, such as imaging systems, telecommunications  
devices, micro-optical systems, micro-mechanical systems, etc., are typically  
constructed of several different lenses and optical articles to deliver the desired  
optical performance. To avoid large overall losses in the optical system, the  
25 alignment of each lens and optical article with subsequent lenses and optical  
articles must be very precise. Fiducial marks are often created on the lenses and  
optical articles outside the optical area to serve as a reference point during  
alignment. Fiducial marks are particularly important in the case of aspheric lenses  
and lens arrays where it is difficult to identify the center of the lens during  
30 alignment activities. Fiducial marks are also very important for fiber optic arrays  
and laser arrays where multiple features dictate the need for a shared alignment

reference which is located precisely in relation to all the optical features. As optical systems get smaller for fiber optics applications, like telecommunications and optical sensors, the need increases for precise alignment of the optical components and the accuracy of the associated fiducial marks. Alignment specifications of two (2) microns are now common with a desire to deliver submicron alignment accuracy. Consequently, the fiducial marks must be located with an accuracy of 1 micron or better.

Fiducial marks are well known in the semi-conductor manufacturing industry as an important tool for making multilayer semiconductors. In this case, the fiducial marks are incorporated as part of the semiconductor circuit plan. Due to the thinness (50-100 micron) of the semiconductor layers used in making multilayer semiconductors, the fiducial marks of multiple semiconductor layers can be viewed simultaneously using a high magnification microscope. The high magnification microscope aids in positioning the fiducial marks of one semiconductor layer over the fiducial marks of another semiconductor layer during the alignment process.

Forming fiducial marks in optical articles raises special challenges in that optical surfaces are typically relatively thick, often well over a 1000 micron in thickness. This is the case even in a microlens array that has microlenses that are well under a millimeter in diameter. The thickness of the microlens array makes it virtually impossible to accurately locate a fiducial mark by looking through the microlens array due to optical limitations. On the one hand, the location accuracy of the fiducial mark relative to the optical article is limited because the fiducial mark is displaced by refracted light passing through the microlens array material. Moreover, the thickness of the microlens array limits how close the microscope used for identifying the microlens array can be positioned to the fiducial mark. Consequently, only lower magnification microscopes can be used to look at the fiducial. Therefore, for optical articles, a method of applying a very accurately located fiducial mark on the side opposite to the optical article is needed.

In U.S. Patent No. 6,005,294, by Tsuji et al., Dec. 21, 1999,  
entitled "Method Of Arranging Alignment Marks," a method of making  
semiconductor devices uses multiple fiducial marks in such a way that the area  
occupied by the fiducial marks is reduced and the manufacturing productivity is  
5 correspondingly increased. While this patent does describe the state of the art for  
making semiconductor devices, the alignment process described therein is not  
appropriate for optical articles like lens arrays. As mentioned, in lens arrays, the  
significant thickness of the various lenses makes it impossible to view fiducial  
marks from multiple optical articles simultaneously due to the separation distance  
10 imparted by the material thickness of the lenses.

Also, U.S. Patent No. 5,850,276, by Ochi et al., Dec. 15, 1998,  
entitled "Method Of Making LCD Device Having Alignment Mark Made Of  
Same Material And Formed At Same Time As Microlenses" and U.S. Patent No.  
5,771,085, by Ochi et al., Jun. 23, 1998, entitled "LCD Device With an  
15 Alignment Mark Having Same Material As Microlenses" each describe a process  
for molding fiducial marks into a microlens screen used for liquid crystal display  
devices. In these patents the shapes of the fiducial marks are also described in  
detail. The fiducial marks as described are protrusions in the shape of a cross or  
several other variations, located on the same side as the microlenses. The  
20 protrusions can be semicircular in cross section or another shape as long as the  
grooves between the protrusions stand out as dark lines when viewed with a  
reflecting microscope. The references recognize that lens characteristics, such as  
thickness, interfere with the ability to identify underlying fiducial marks. Further,  
the references show some appreciation for useful geometries of fiducial marks and  
25 for fiducial marks molded along with a microlens array. However, neither of the  
patents show appreciation for fiducial marks applied on the side opposite the  
optical surfaces in the microlens array. Furthermore, there is no appreciation by  
either of the references that advantages can be gained with a molded fiducial mark  
having lens characteristics.

Moreover, U.S. Patent No. 6,096,155, by Harden et al., Aug. 1, 2000, entitled "Method Of Dicing Wafer Level Integrated Multiple Optical Elements" discloses the use of fiducials to aid in alignment of microlenses on wafers during the bonding of multiple wafers together prior to dicing. This patent  
5 generally teaches making integrated multiple optical elements with features to help control the thickness of adhesives and solders used to bond together the wafers. While effective use of the fiducial marks is described, there is absolutely no mention of ways to improve alignment of fiducial marks on one side with the optical element on the other side of the wafer. The techniques of embossing and  
10 molding fiducial marks, described in the patent, both suffer from locational inaccuracies from one side to the other of the order of plus or minus ten (10) microns. In molded microlenses and microlens arrays this inaccuracy is not acceptable.

Furthermore, U.S. Patent No. 4,598,039, by Fischer et al., Jul. 1, 1986, entitled "Formation Of Features In Optical Material" describes the use of a  
15 laser to remove optical material in a controlled fashion. The laser can be used directly on the optical material or a layer of ablative absorber material can be put onto the surface of the optical material to enhance the coupling to the laser. This ablative technique is well suited to making fiducial type marks for alignment.  
20 However, the reference does not show appreciation for how to align the laser with a lens array that is located on the opposite side from the desired location for the fiducial marks.

Therefore, a need persists in the art for a method of forming fiducial marks onto optical articles and optical arrays on a surface opposite the  
25 optical article surface that enables precise alignment of the articles and optical arrays. Moreover, there is a compelling need for a special optical feature molded along with optical surfaces to focus light onto an opposing surface of the optical article or optical array thus enabling the formation of a fiducial mark onto the opposing surface with great accuracy.

## SUMMARY OF THE INVENTION

It is, therefore, an object of the invention to provide a method for making fiducial marks on a micro-sized article where the fiducial mark is located on a surface opposite the surface of the micro-sized article.

5 It is a further object of the invention to utilize an optical feature made in conjunction with the micro-sized article that focuses a high intensity beam of light onto the surface opposite the surface of micro-sized article to thereby form a fiducial mark.

To accomplish these and other objects, features and advantages of  
10 the invention, there is provided, in one aspect of the invention, a method of forming fiducial marks on a micro-sized article supportedly mounted on a first surface of a transparent medium. The transparent medium has a second surface opposite the first surface. In the preferred embodiment, at least one optical feature is arranged adjacent the micro-sized article at a predetermined location on  
15 the first surface of the transparent medium. At least a portion of the second surface of the transparent medium is altered or treated for subsequent processing. A collimated beam of light is directed onto the optical feature that in turn focuses the collimated beam of light onto the second surface to form a correspondingly precisely located at least one fiducial mark thereon opposite the optical feature.

20 Consequently, the present invention has numerous advantages over prior art developments, including: it results in precision locating of fiducial marks; it is a far superior method of aligning optical articles in an array; and, it is significantly easier to implement since all required optical features are formed with the same forming process.

## 25 BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing as well as other objects, features and advantages of this invention will become more apparent from the appended Figures, wherein like reference numerals denote like elements, and wherein:

Figure 1a is a perspective view of a prior art lens array with  
30 fiducial marks located on the side opposite the lens surfaces;

Figure 1b is a perspective view of an optical system made in accordance with the method of the invention;

Figure 2 is an elevated side view of a microlens array set in a transparent medium having optical features formed in accordance with the method  
5 of the invention;

Figure 3 is an elevated side view of a microlens array set in a transparent medium with a fiducial mark forming means arranged for forming fiducial marks on an opposing surface of the transparent medium;

Figure 4 is a perspective view of the optical article having  
10 generally circular fiducial marks on a surface opposite the optical article;

Figure 5 is a perspective view of the optical article of the invention having a generally linear crossed fiducial mark on a surface opposite the optical article;

Figures 6a and 6b are perspective views of an alternative  
15 embodiment of the invention having a plurality of optical articles on either face of the transparent medium with corresponding fiducial marks on opposing surfaces in the transparent medium opposite the optical article;

Figure 7 is a perspective view of an alternative embodiment of the invention comprising a laser array; and,

Figure 8 is a perspective view of another embodiment of the  
20 invention comprising a fiber optic array.

#### **DETAILED DESCRIPTION OF THE INVENTION**

A typical prior art microlens array 2 is illustrated in FIG. 1a for comparative purposes. According to FIG. 1a, microlens array 2 has multiple  
25 microlenses 1 mounted coincidentally on a mounting flange 3. Fiducial marks 7 are located on a surface 5 of mounting flange 3 opposite the surface 6 of microlenses 1. Fiducial marks 7 would either be directly molded onto surface 5 or would be applied after referencing an edge of the optical surface from the opposite side of mounting flange 3. In the case of direct molding of the fiducial  
30 marks 7, mold misalignment due to clearance in the alignment pins across the

molded parting line would limit the accuracy of fiducial mark 7 to approximately 15 microns or more. Using the edge referencing technique, experience has taught that each measurement introduces approximately 2-5 microns of inaccuracy. Since a minimum of three (3) measurements are required to identify an edge of a round lens, the total inaccuracy is a minimum of 6 - 15 microns to place the fiducial mark 7. While this inaccuracy is usually acceptable for large optical articles, as the size of optics for applications such as fiber optics shrinks below 1000 micron, the alignment accuracy required shrinks as well. Consequently, it is not uncommon for alignment accuracy of microlenses to be 5 microns or better with some applications calling for 2 micron alignment. Obviously, the accuracy of the fiducial marks 7 must be better than the alignment accuracy required.

Turning now to FIG. 1b, fiducial marks 13 formed in an optical article array, such as refractive lens array 11, using the method of the invention is illustrated. In this embodiment, fiducial marks 13 are used to align an optical assemblage 8 comprising refractive lens array 11 and laser array 9. According to FIG. 1b, fiducial marks 13 on the lens array 11 are precisely located on opposing surface 11b of lens array 11. To ensure precise alignment of optical assemblage 8, each one of a plurality of precision through-holes 15 formed in laser array 9 is alignably centered over a corresponding fiducial mark 13 in lens array 11. This process aligns each of the lasers 9a in the laser array 9 with a refractive lens 11a in the refractive lens array 11. After the optical assemblage 8 is aligned, it is rigidly affixed typically by potting in a suitable adhesive material. Precise alignment of precision through-holes 15 over the fiducial marks 13 is accomplished with a high power microscope (not shown) often with a computerized vision system linked to a computerized positioning system to automate the process.

Referring to FIGS. 2 and 3, an optical array 10 having accurately located fiducial marks 24, 28 formed on an opposing surface 30 of a transparent substrate 12 is illustrated. According to FIGS. 2 and 3, optical articles, such as microlens array 22, 32, are supported on mounting surface 14 of transparent



substrate 12 that is opposite surface 30. Important to the invention, an additional optical feature 20 (described below) is formed adjacent to the microlens array 22, 32 to aid in precisely forming fiducial marks at focal points 24, 28. According to FIG. 2, focal point 24 (corresponding to a fiducial mark) is then produced with a high intensity collimated beam of light 26. As shown in FIG. 3, a laser source 27 may be used to produce such high intensity light 26. The additional optical feature 20 receives the collimated beam of light 26 from laser source 27 and precisely focuses it onto opposing surface 30 of the microlens array 10. It is also important to the invention that prior to forming the fiducial marks 13 at focal points 24, 28, surface 30 of the transparent substrate 12 is altered or treated in the area where the fiducial marks 13 are to be formed. The objective of altering or treating surface 30 is to make suitably visible fiducial marks 13 when exposed to the focused high intensity light 26. Suitable surface altering techniques include dip coating, roughening, spin coating, vacuum coating, metallizing, among others.

Skilled artisans will appreciate that there are several processes that may be used for forming a mold for making optical articles, such as optical array 10, which includes additional optical feature 20 as described. Such processes include lithographic printing, ink jet printing, indentation, diamond turning and diamond milling, each of which can deliver a position to position accuracy of 0.25 micron. Importantly, the method of the present invention uniquely uses the process for forming the microlens array 32 for also forming the additional optical features 20 that precisely locates the fiducial marks at focal points 24, 28.

Referring to FIGS. 4 and 5, optical features having a variety of configurations with refractive or diffractive lenses can be used to create various shaped fiducial marks. According to FIG. 4, a lens array 40 has a plurality of lenses 41 formed in first surface 46 of transparent medium 44. Generally round refractive lens feature 45 can be used to make a generally round fiducial mark 42 in second surface 48 of transparent medium 44, opposite first surface 46 of the transparent medium 44. Moreover, to produce a generally linear fiducial mark, a generally linear lens feature is required (not shown). According to FIG. 5, a

generally crossed linear refractive lens feature 50 is used to produce a generally crossed-shaped (X-shaped) fiducial mark 52. Those skilled in the art will now appreciate that other patterns for the optical feature can be produced by a combination of refractive and diffractive optical features.

5 Referring to FIGS. 6a and 6b, in another embodiment of the invention, double-sided optical arrays 58, 59 are illustrated. According to FIG. 6a, double-sided optical array 58 has an arrangement of optical articles 60, 62 on either of opposing surfaces 61a, 61b in transparent medium 61. Fiducial marks 69, 66 are formed on both opposing surfaces 61a, 61b, respectively, by repeating  
10 the fiducial marking process described hereinabove. According to FIG. 6b, alternatively, double-sided optical array 59 has optical features 72, 80 mounted on opposing surfaces 70a, 70b of transparent medium 70. In this embodiment, two sets of fiducial marks 78, 83 are formed only on surface 70b opposite surface 70a so the misalignment between the two optical articles 72, 80 could be easily  
15 determined.

Referring again to FIG. 6a, double-sided optical array 58, more particularly, has a first plurality of lenses 60 matched to a second plurality of lenses 62, both being mounted in opposing surfaces 61a, 61b of transparent medium 61. Two complimentary sets of additional optical features 65, 68 are  
20 formed in either of opposing surfaces 61a, 61b, respectively. Optical features 65, 68 are used to form fiducial marks 66, 69 on the opposing surfaces 61b, 61a, respectively. As shown in FIG. 6a, optical feature 65 has a generally round shape which forms a generally round shaped fiducial mark 66 on the opposing surface 61b. In the same alternative, double-sided optical array 58, a generally ring  
25 shaped optical feature 68 formed on surface 61b produces a generally ring shaped fiducial mark 69. Alternatively, fiducial marks 66, 69 and optical features 68, 65 can be used as matching reference marks to measure the relative alignment of the optical articles 60, 62 on surfaces 61a, 61b by measuring the relative centering of the fiducial marks 66, 69 from the optical features 65, 68.

It is the experience of the inventors that by using both refractive and diffractive lenses in the additional optical lens features, a wide variety of fiducial mark shapes can be created to fit different requirements. The additional optical lens feature can also be designed for different wavelengths if the fiducial marking is to be done using a light source that operates at a different wavelength than used by the optical array.

Referring again to FIG. 6b, another embodiment of a double-sided optical array 59 is illustrated. As described above, a first plurality of lenses 72 in optical array 59 has additional generally round optical features 74 formed on surface 70a of transparent substrate 70. Optical features 74 provide precise focusing of the collimated beam of light (FIG. 2) onto opposing surface 70b which forms a generally round fiducial mark 78 on a treated portion 76 of opposing surface 70b. In this embodiment, the second plurality of lenses 80 is formed on opposing surface 70b of transparent medium 70. Further, generally square fiducial marks 83 surround generally round fiducial marks 78 that have been produced by optical features 74 formed on opposing surface 70a. The alignment of the first plurality of lenses 72 to the second plurality of lenses 80 is preferably determined by measuring the magnitude and direction of the de-centering, i.e., the distance from an imaginary centerline passing through the lenses to the fiducial mark.

In FIGS. 7 and 8, two additional embodiments of the invention are illustrated. According to FIG. 7, a laser array 110, having lasers 90, includes two additional optical features or cross linear lens 92 that produce fiducial marks 94 in the form of a cross (X) on an opposing surface 96b. Lasers 90 may be arranged in openings in transparent medium 96 or they may be bonded to first surface 96a of transparent medium 96. According to FIG. 8, a fiber optic array 120, having fiber optic units 100 formed in transparent substrate 106, includes additional optical features 102 adjacent to fiber optic units 100 that are used to produce fiducial marks 104 on an opposing surface 106b of the fiber optic array 120. The fiber optic units 100 may be formed in transparent substrate 106 or they may be bonded

to first surface 106a. The same process, described above, for forming fiducial marks 94, 104, is used in the present embodiments of the invention.

The invention has been described with reference to various embodiments thereof. However, it will be appreciated that variations and  
5 modifications can be effected by a person of ordinary skill in the art without departing from the scope of the invention.

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**PARTS LIST**

- 1 microlens
- 2 prior art microlens array
- 3 mounting flange
- 5 surface of mounting flange 3
- 6 surface of mounting flange 3 supporting microlens 1
- 7 fiducial marks on opposing surface 5
- 8 optical assemblage
- 9 laser array
- 9a lasers in laser array 9
- 10 optical array
- 11 refractive lens array
- 11a refractive lens in refractive lens array 11
- 12 transparent substrate
- 13 fiducial marks for refractive lens array 11
- 14 mounting surface
- 15 precision through-holes
- 20 additional optical feature
- 22 microlens array
- 24 focal point on an opposite surface to the microlens array
- 26 high intensity collimated beam of light
- 27 laser source
- 28 focal point produced by the collimated light 26 passing through the additional optical features 20
- 30 fiducial marking area on the opposite side of the microlens array 22
- 32 multiple lens refractive lens array
- 40 lens array
- 41 plurality of lenses
- 42 generally round fiducial mark

**Parts List - continued**

- 44 transparent medium
- 45 generally round refractive lens feature
- 46 first surface of transparent medium 44
- 48 second surface of transparent medium 44
- 50 crossed linear refractive lens feature
- 52 cross-shaped fiducial mark
- 58 alternative double-sided optical array
- 59 alternative double-sided optical array
- 60 optical articles (first plurality of lenses in optical array 58)
- 61 transparent medium
- 61a, b opposing surfaces in transparent medium 61
- 62 optical articles (second plurality of lenses in optical array 58)
- 65 round upper additional optical feature
- 66 found fiducial mark
- 68 ring-shaped lower additional optical feature
- 69 ring-shaped fiducial mark
- 70 transparent medium
- 70a, b opposing surfaces in transparent medium 70
- 72 optical features (first plurality of lenses in lens array 59)
- 74 round additional optical feature
- 76 treated portion of opposing surface 70b
- 78 round spot fiducial marks
- 80 optical features (second plurality of lenses in lens array 59)
- 83 square fiducial mark
- 90 lasers
- 92 crossed linear lens on laser array 110
- 94 X-shaped fiducial marks on lower surface
- 96 transparent medium of laser array 110

96a	first surface of transparent medium 96
96b	second surface of transparent medium 96
100	fiber optic units
102	additional optical feature
104	X-shaped fiducial marks on lower surface
106	transparent medium of fiber optic array 120
106a	first surface of transparent medium 106
106b	opposing surface of transparent medium 106
110	laser array
120	fiber optic array